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PATENT COOPERATION TREA. . .

From the INTERNATIONAL BUREAU

PCT	То:
NOTIFICATION OF ELECTION (PCT Rule 61.2) Date of mailing (day/month/year) 19 October 2000 (19.10.00) International application No. PCT/GB00/00953	Assistant Commissioner for Patents United States Patent and Trademark Office Box PCT Washington, D.C.20231 ETATS-UNIS D'AMERIQUE in its capacity as elected Office Applicant's or agent's file reference JL2075
International filing date (day/month/year)	Priority date (day/month/year)
15 March 2000 (15.03.00)	17 March 1999 (17.03.99)
Applicant MUNDAY, Paul, David et al	
1. The designated Office is hereby notified of its election made. X in the demand filed with the International Preliminary 20 September	Examining Authority on: 2000 (20.09.00) national Bureau on:
	Authorized officer
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	S. Mafla
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

F, .ENT COOPERATION TREA.

9/936561

	From the INTERNATIONAL BUREAU		
PCT	То:		
NOTIFICATION OF THE RECORDING OF A CHANGE (PCT Rule 92bis.1 and Administrative Instructions, Section 422) Date of mailing (day/month/year) 02 November 2001 (02.11.01)	BOWDERY, A., O. Qinetiq Limited IP Formalities A4 Bldg., Cody Technology Park Ively Road, Farnborough Hamphsire GU14 0LX ROYAUME-UNI		
Applicant's or agent's file reference			
JL2075	IMPORTANT NOTIFICATION		
International application No. PCT/GB00/00953	International filing date (day/month/year) 15 March 2000 (15.03.00)		
The following indications appeared on record concerning: The applicant the inventor	the agent the common representative		
Name and Address	State of Nationality State of Residence GB GB		
THE RESEARCH OF STATE FOR DEFENCE Defence Evaluation and Research Agency Farnborough	Telephone No.		
Hants GU14 0LX United Kingdom	Facsimile No.		
	Teleprinter No.		
The International Bureau hereby notifies the applicant that the X the person the name the additional than the same than the same the same the same than the same th			
Name and Address	State of Nationality State of Residence		
OINETIO LIMITED 85 Buckingham Gate	GB GB Telephone No.		
London SW1 6TD United Kingdom			
•	Facsimile No.		
	Teleprinter No.		
Further observations, if necessary: The agent's address has been changed according	ngly.		
4. A copy of this notification has been sent to:			
X the receiving Office	the designated Offices concerned		
the International Searching Authority	X the elected Offices concerned		
the International Preliminary Examining Authority	other:		
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Idhir BRITEL		
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

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DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

Int. .tional Application No PCT/GB 00/00953

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H03D9/06

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 HO3D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, INSPEC

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
x	MITEQ INC: "MM- WAVE BLOCK CONVERTERS" MICROWAVE JOURNAL,US,HORIZON HOUSE. DEDHAM, vol. 39, no. 7, 1 July 1996 (1996-07-01), page 144,146,148,15 XP000679084 ISSN: 0192-6225 page 150, middle column; figure 5	1-4,7,10
X	WENGER J ET AL: "KA AND V-BAND MMIC COMPONENTS FOR PERSONAL COMMUNICATION NETWORKS" IEEE MTT-S INTERNATIONAL MICROWAVE SYMPOSIUM DIGEST, US, NEW YORK, IEEE, 1996, pages 491-494, XP000731925 ISBN: 0-7803-3247-4 page 492, right-hand column	1

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.			
Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family			
Date of the actual completion of the international search 27 June 2000	Date of mailing of the international search report 04/07/2000			
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer Peeters, M			

Form PCT/ISA/210 (second sheet) (July 1992)

0.46		PCT/GB 00	/00953
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
A	MAAS S A ET AL: "A BROADBAND, PLANAR, DOUBLY BALANCED MONOLITHIC KA-BAND DIODE MIXER" IEEE TRANSACTIONS ON MICROWAVE THEORY AND TECHNIQUES,US,IEEE INC. NEW YORK, vol. 41, no. 12, 1 December 1993 (1993-12-01), pages 2330-2335, XP000426152 ISSN: 0018-9480 abstract		1,2
A	MONDAL J ET AL: "KA-BAND MMIC RECEIVER WITH ION-IMPLANTED TECHNOLOGY FOR HIGH-VOLUME AND LOW-COST APPLICATION" IEEE MICROWAVE AND GUIDED WAVE LETTERS, US, IEEE INC, NEW YORK, vol. 1, no. 10, 1 October 1991 (1991-10-01), pages 278-281, XP000227277 ISSN: 1051-8207 abstract		1
A	DIEUDONNE J -M ET AL: "GAAS MESFET TECHNOLOGY BASED MMICS FOR MILLIMETRE-WAVE FRONT-ENDS" EUROPEAN MICROWAVE CONFERENCE PROCEEDINGS, GB, NEXUS BUSINESS COMMUNICATIONS, vol. CONF. 24, 1994, pages 534-541, XP000643208 ISBN: 0-9518-0325-5 page 535, paragraph 5 page 536, paragraph 2		4,15
A	US 5 093 667 A (ANDRICOS CONSTANTINE) 3 March 1992 (1992-03-03) column 6, line 39 - line 43		5
A	EP 0 495 598 A (RAYTHEON CO) 22 July 1992 (1992-07-22) abstract; figure 2		8
4	KATO H ET AL: "A 30 GHZ-BAND FULL-MMIC RECEIVER FOR SATELLITE TRANSPONDERS" INTERNATIONAL MICROWAVE SYMPOSIUM, US, NEW YORK, IEEE, 1988, pages 565-568, XP000124768 ISSN: 0149-645X page 566, right-hand column	·	9
	EP 0 769 847 A (NIPPON ELECTRIC CO) 23 April 1997 (1997-04-23) abstract		9



Int. .tional Application No PCT/GB 00/00953

10		FC1/GB 00/00953		
	don) DOCUMENTS CONSIDERED TO BE RELEVANT	·	I Deleverate at the second	
oyury -	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.	
	EP 0 348 370 A (COMMUNICATIONS SATELLITE CORP) 27 December 1989 (1989-12-27) abstract; figure 3		21,22	



Information on patent family members

Int. Itonal Application No PCT/GB 00/00953

Patent document cited in search report	Publication date		Patent family member(s)	Publication date
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		GB	2258763 A,B	17-02-1993
		GB	2282291 A,B	29-03-1995
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		NO	892605 A	27-12-1989

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REC'D 02	APR 2001
WIPO	PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file refere	FOR FURTHER ACTIO	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
JL2096	Ton on the	
International application No.	International filing date (day/m	
PCT/GB00/00953	15/03/2000	17/03/1999
International Patent Classificati H03D9/06 Applicant	on (IPC) or national classification and IPC	
THE SECRETARY OF S	TATE FOR DEFENCE et al.	
This international prelir and is transmitted to th	ninary examination report has been prep e applicant according to Article 36.	ared by this International Preliminary Examining Authority
2. This REPORT consists	of a total of 8 sheets, including this cov	er sheet.
been amended an	accompanied by ANNEXES, i.e. sheets of d are the basis for this report and/or sheet and Section 607 of the Administrative Instr	of the description, claims and/or drawings which have ets containing rectifications made before this Authority ructions under the PCT).
These annexes consis	t of a total of sheets.	
·		
3. This report contains inc	dications relating to the following items:	· .
l ⊠ Basis of th	e report	
Ⅱ · □ Priority		
III 🛚 Non-estab	lishment of opinion with regard to novelty	y, inventive step and industrial applicability
l e e e e e e e e e e e e e e e e e e e	ity of invention	
V ⊠ Reasoned citations a	statement under Article 35(2) with regard nd explanations suporting such statemer	d to novelty, inventive step or industrial applicability;
VI □ Certain do	ocuments cited	
VII . ⊠ Certain de	fects in the international application	-
VIII 🗵 Certain ob	servations on the international applicatio	n .
Date of submission of the dem	and Dat	te of completion of this report
20/09/2000	29.	03.2001
Name and mailing address of t preliminary examining authority	y:	thorized officer
European Patent D-80298 Munich Tel. +49 89 2399		gerbaek, T
Fax: +49 89 2399 - 4465		lephone No. +49 89 2399 8692

INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/GB00/00953

I. Basis of the report

١.	Basis of the report	office in		
1.	Basis of the report This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in This report has been drawn on the basis of (substitute sheets which have been furnished to the response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to response to an invitation under Article 14 are referred to in this report since they do not contain amendments (Rules 70.16 and 70.17).): The report since they do not contain amendments (Rules 70.16 and 70.17).): Description, pages:			
	1-21	as originally filed		
	Claims, No.:			
	1-24	as originally filed		
	Drawings, sheets:			
	1/10-10/10	as originally filed		
2	2. With regard to the lar	nguage, all the elements marked above were available or furnished to this Authority in the e international application was filed, unless otherwise indicated under this item.		
	These elements were	e available or furnished to this Authority in the following language: , which is:		
	the language of the language of 55.2 and/or 55.3	a translation furnished for the purposes of the international search (under Rule 23.1(b)). publication of the international application (under Rule 48.3(b)). a translation furnished for the purposes of international preliminary examination (under Rule 3). Solution and/or amino acid sequence disclosed in the international application, the mary examination was carried out on the basis of the sequence listing:		
	filed together w furnished subs furnished subs furnished subs The statement the internation The statement listing has bee			
	4. The amendments h	have resulted in the cancellation of:		
	the descriptionthe claims,	n, pages: Nos.:		



INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB00/00953

		the drawings,	sheets:			
5.		considered to go beyo	and the dis	closure a	s filed (Hule 70.2(c)):	s had not been made, since they have beer eferred to under item 1 and annexed to this
		report.)	et comain	ing ode.		
		litional observations, if				
۷.	Rea cita	asoned statement und ations and explanatio	der Article ns suppoi	35(2) wi rting suc	th regard to novelty, in the statement	nventive step or industrial applicability;
1.	Sta	tement				
	No	velty (N)	Yes: No:	Claims Claims	1-24 NONE	
	Inv	entive step (IS)	Yes: No:	Claims Claims	NONE 1-24	

2. Citations and explanations see separate sheet

Industrial applicability (IA)

VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

Claims 1-24

Claims NONE

VIII. Certain observations on the international application

Yes:

No:

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

Re Item I

Basis of the report

The examination is being carried out on the following application documents:

Text for the Contracting States:

AT BE CH DE DK ES FI FR GB GR IT IE LI LU MC NL PT SE

Description, pages: 1-21 as originally filed

Claims, No.:

1-24 as originally filed

Drawings, sheets:

1/10-10/10 as originally filed

- Reference is made to the following documents: 1.
 - D1: MITEQ INC: 'MM- WAVE BLOCK CONVERTERS' MICROWAVE JOURNAL, US, HORIZON HOUSE. DEDHAM, vol. 39, no. 7, 1 July 1996 (1996-07-01), page 144,146,148,15 XP000679084 ISSN: 0192-6225
 - D2: WENGER J ET AL: 'KA AND V-BAND MMIC COMPONENTS FOR PERSONAL COMMUNICATION NETWORKS' IEEE MTT-S INTERNATIONAL MICROWAVE SYMPOSIUM DIGEST, US, NEW YORK, IEEE, 1996, pages 491-494, XP000731925 ISBN: 0-7803-3247-4
 - D3: MAAS S A ET AL: 'A BROADBAND, PLANAR, DOUBLY BALANCED MONOLITHIC KA-BAND DIODE MIXER' IEEE TRANSACTIONS ON MICROWAVE THEORY AND TECHNIQUES, US, IEEE INC. NEW YORK, vol. 41, no. 12, 1 December 1993 (1993-12-01), pages 2330-2335, XP000426152 ISSN: 0018-9480
 - D4: MONDAL J ET AL: 'KA-BAND MMIC RECEIVER WITH ION-IMPLANTED TECHNOLOGY FOR HIGH-VOLUME AND LOW-COST APPLICATION IEEE MICROWAVE AND GUIDED WAVE LETTERS,US,IEEE INC, NEW YORK, vol. 1, no. 10, 1 October 1991 (1991-10-01), pages 278-281, XP000227277 ISSN: 1051-8207
 - D5: DIEUDONNE J -M ET AL: 'GAAS MESFET TECHNOLOGY BASED MMICS FOR MILLIMETRE-WAVE FRONT-ENDS' EUROPEAN MICROWAVE CONFERENCE PROCEEDINGS, GB, NEXUS BUSINESS

International application No. PCT/GB00/00953

COMMUNICATIONS, vol. CONF. 24, 1994, pages 534-541, XP000643208 ISBN: 0-9518-0325-5

D6: US-A-5 093 667 (ANDRICOS CONSTANTINE) 3 March 1992 (1992-03-03)

D7: EP-A-0 495 598 (RAYTHEON CO) 22 July 1992 (1992-07-22)

D8: KATO H ET AL: 'A 30 GHZ-BAND FULL-MMIC RECEIVER FOR SATELLITE TRANSPONDERS' INTERNATIONAL MICROWAVE SYMPOSIUM,US,NEW YORK, IEEE, 1988, pages 565-568, XP000124768 ISSN: 0149-645X

D9: EP-A-0 769 847 (NIPPON ELECTRIC CO) 23 April 1997 (1997-04-23)

D10: EP-A-0 348 370 (COMMUNICATIONS SATELLITE CORP) 27
December 1989 (1989-12-27)

Re Item V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- Claims 1-24 claim a receiver or an apparatus comprising such a receiver by its unremarkable specifications and features. This is contrary to the purpose of patent laws, as can be inferred by considering Arts. 5 and 6 PCT in combination.
- All the features claimed are commonly known or known from D1-D10, and the skilled reader will know their purposes and advantages and apply them whenever circumstances make it desirable.

For example, front ends for microwave signals are well known. One such is shown in D1, Fig. 5, showing typical functional blocks. The integration of one or more of these functions into a monolithic microwave integrated circuit (MMIC) is also well known. For example, D1 discusses, on p. 145, 2nd paragraph, the development of custom MMICs by foundry services, and mentions amplifier, mixer and multiplier blocks being integrated, I. 16-18.

D4 shows a MMIC receiver for 35GHz radar comprising an LNA and a mixer made on two chips, Fig. 1. As stated in col. 2, l. 8-11, however, the two chips may be integrated into a single chip receiver, as also evidenced by the fact that both

chips are made on the same wafer by the same process (see II. Fabrication).

D5 discloses different functional blocks that are made by the same process with the purpose of being integrated onto a single MMIC. As a target for integration is shown a monolithic front end for radar (Fig. 1) comprising a VCO, buffer for VCO, frequency doubler, mixer, IF amp, and T/R switch.

D10 shows a hybrid integrated antenna/LNB down-converter for satellite reception comprising a MMIC 114 with an LNA and a mixer (Fig. 3, col. 4, l. 5-7).

- 4. In accordance with the above, the application fails to meet the requirements of the PCT because claims 1-24 lack an inventive step, Art. 33 (3) PCT.
 A few examples of lack of an inventive step are given below.
- 4.1 [Example 1: Claim 1 lacks an inventive step, Art. 33(3) PCT]

D4 shows a MMIC receiver for 35GHz radar comprising an LNA and a mixer made on two chips, Fig. 1. As stated in col. 2, I. 8-11, however, the two chips may be integrated into a single chip receiver, as also evidenced by the fact that both chips are made on the same wafer by the same process (see II. Fabrication, col. 2).

The receiver of D4 differs from the receiver of claim 1 only in that it has different gains and noise figures (NF).

Subject to the clarity objection of point 8 of section VIII, this difference does not represent an inventive step, because the selection of a particular value or range of gain amounts to a simple choice. The skilled person would simply select these values according to circumstances without exercising an inventive step.

4.2 [Example 2: Claim 5 lacks an inventive step, Art. 33(3) PCT]

The feature of claim 5 of splitting up a signal into 0/90 degree signals for subsequent amplification and recombination is well known in the art of RF amplification. The skilled person would therefore incorporate such a known amplifier circuit into the receiver of D4 whenever circumstances made it desirable, e.g., when the improved performance of such a circuit was needed. Therefore

EXAMINATION REPORT - SEPARATE SHEET

claim 5 lacks an inventive step

4.3 [Example 3: Claims 21-24 lack an inventive step, Art. 33(3) PCT] Microwave frontends connected to antennas are common knowledge, they are found in every satellite TV reception system; also well known are such systems where the frontend is mounted on the antenna, and the antenna is movable to direct it to different satellites.

A Phased array using a plurality of frontends is well known, e.g., in the field of radar.

A high data rate communications system is a well known, e.g., from satellite communication systems.

What is claimed in claims 21 and 24 are primary fields of application of a receiver known from D4. A skilled person working in these fields would incorporate the receiver of D4 into the respective apparatuses or systems. The subject-matter of claims 21-24 therefore lacks an inventive step.

- 4.4 For similar reasons, the remaining claims also fail to meet the requirements of the PCT for lack of an inventive step, Art. 33(3) PCT.
- The application meets the requirements of the PCT with respect to industrial 5. applicability, Art. 33(4) PCT, because the subject matter of claims 1-24 can be made or used in industry.

Re Item VII

Certain defects in the international application

- Contrary to the requirements of Rule 5.1(a)(ii) PCT, no relevant background art 6. has been mentioned in the description.
- The features of the claims are not provided with reference signs placed in 7. parentheses (Rule 6.2(b).

Re Item VIII

Certain observations on the international application

- 8. Claims 1, 2 and 4 do not meet the requirements of Article 6 PCT in that the matter for which protection is sought is not clearly defined. The claims attempt to define the subject-matter in terms of the result to be achieved. More specifically, a claim to a specific value of gain, frequency range, or noise figure, or to a range of values does not further define the subject-matter. The technical features necessary for achieving this result should have been present.
- 9. Examiner is of the opinion that the application's contribution to the art is not of an extent and nature that could support a claim meeting the requirements of the PCT.
 - More specifically, in the statement of invention no feature has been described as being essential to the invention, all features and specifications are preceded by the word 'preferably'. The only common feature that one or more functions are integrated onto an MMIC is well known in the art. What is described therefore amounts to simple juxtaposition. As the description thus does not clearly disclose an invention, it cannot support any claim.



PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	(Form PCT/ISA/2	f Transmittal of International Search Report 20) as well as, where applicable, item 5 below.
JL2075	ACTION	(Fadical Driggit, Data (day/manth/gar)
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/GB 00/00953	15/03/2000	17/03/1999
Applicant		
THE SECRETARY OF STATE FO	R DEFENCE et al.	
This International Search Report has bee according to Article 18. A copy is being tra	n prepared by this International Searching Auth	nority and is transmitted to the applicant
This International Search Report consists	_	
X It is also accompanied by	a copy of each prior art document cited in this	report.
Basis of the report With regard to the language the	international search was carried out on the ba	sis of the international application in the
language in which it was filed, un	less otherwise indicated under this item.	
Authority (Rule 23.1(b)).	vas carried out on the basis of a translation of t	
b. With regard to any nucleotide ar	nd/or amino acid sequence disclosed in the in	nternational application, the international search
was carried out on the basis of the	ie sequence listing : onal application in written form.	
1	ernational application in computer readable for	m.
	o this Authority in written form.	
1	o this Authority in computer readble form.	
the statement that the su	bsequently furnished written sequence listing of as filed has been furnished.	does not go beyond the disclosure in the
		is identical to the written sequence listing has been
2. Certain claims were for	und unsearchable (See Box I).	
3. Unity of Invention is lac	cking (see Box II).	
4. With regard to the title ,		
the text is approved as s	ubmitted by the applicant.	
	shed by this Authority to read as follows:	
ELECTROMAGNETIC WAVE	RECEIVER FRONT END	
5. With regard to the abstract,		
	ubmitted by the applicant.	
the text has been established	ished, according to Rule 38.2(b), by this Authorie date of mailing of this international search re	rity as it appears in Box III. The applicant may, eport, submit comments to this Authority.
6. The figure of the drawings to be pul	olished with the abstract is Figure No.	1
X as suggested by the app	olicant.	None of the figures.
because the applicant fa	uiled to suggest a figure.	
because this figure bette	er characterizes the invention.	



	_		PC1/GB 00/	00933
A. CLASSIFI	CATION OF SUBJECT MATTER			
IPC 7	CATION OF SUBJECT MATTER H03D9/06			ļ
According to	International Patent Classification (IPC) or to both national class	sification and IPC		
B FIELDS S	SEARCHED			
	numentation searched (classification system followed by classifi	cation symbols)		
IPC 7	H03D			
		not cush documents are incli	uded in the fields se	earched
Documentati	on searched other than minimum documentation to the extent th	iat such documents are men		
				
Electronic da	ata base consulted during the international search (name of date	a base and, where practical	, search terms used))
EPO-Int	ternal, PAJ, INSPEC			
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